



February 12, 2021

MEMORANDUM FOR: GUNDEEP AHLUWALIA
Chief Information Officer

A handwritten signature in cursive script that reads "Carolyn R. Hantz".

FROM: CAROLYN R. HANTZ
Assistant Inspector General
for Audit

SUBJECT: DOL's Fiscal Year 2021 Information
Security Program Audit,
No. 23-P21-002-07-725

Please be advised the Office of Inspector General is initiating the annual evaluation of DOL's information security program and practices, as required by the Federal Information Security Modernization Act of 2014. We contracted with KPMG LLP to conduct an audit, and will monitor KPMG's work to ensure it meets professional standards and contractual requirements. We will contact your audit liaison to schedule a meeting to discuss the following:

Objective: Did DOL implement an effective information security program to protect its information and information systems?

Scope: This review will focus on DOL's information security program and its information systems for the period October 1, 2020, to September 30, 2021.

Planned Location: Washington, DC

We plan to begin work immediately and would appreciate your notification of the appropriate officials of our plans. To facilitate the start of the audit and facilitate our initial planning, we have attached an initial Document Request List, and would appreciate these items being provided prior to the meeting

If you have any questions, please contact Stephen Fowler, Director of Information Technology Audits, at (202) 693-7013.

cc: Paul Blahusch